

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
<b>Robert David Graham</b>	)	Art Unit: <b>2134</b>
	)	
Serial No. <b>09/874,574</b>	)	Confirmation No. <b>5484</b>
	)	
Filed: <b>June 4, 2001</b>	)	Examiner: <b>Andrew L. Nalven</b>
	)	
For: <b>System and Method for Preventing</b>	)	
<b>Network Misuse</b>	)	

**REQUEST FOR AN INITIALED COPY OF THE PTO-1449 FORMS  
FILED WITH INFORMATION DISCLOSURE STATEMENTS SUBMITTED PRIOR  
TO THE NOTICE OF ALLOWANCE**

Mail Stop Issue Fee  
Commissioner for Patents  
Alexandria, VA 22313-1450

March 22, 2007

Sir:

The Applicant respectfully requests Examiner Nalven to consider and initial the attached PTO-1449 forms that were previously filed with the two (2) Information Disclosure Statements (IDS's) prior to the Notice of Allowance of February 13, 2007. The Applicant has not received copies of these PTO-1449 forms that bear the Examiner's initials.

For the Examiner's convenience, attached hereto are copies of (2) IDS's with the PTO-1449 forms that were not properly initialed by the Examiner. These documents were filed on the following dates:.

1.	IDS filed September 23, 2005 (Received on September 26, 2005)	PTO-1449	49-Pages
2.	IDS filed August 12, 2002 (Received on August 16, 2002)	PTO-1449	2-Pages
		<b>Total</b>	<b>51-Pages</b>

I hereby certify that this correspondence is being electronically transmitted to: Commissioner for Patents, Mail Stop Issue Fee, P. O. Box 1450, Alexandria, VA 22313-1450, GAU 2134, Attn: Examiner Nalven, on March 22, 2007.

/SPW/

Steven P. Wigmore, Reg. No. 40,447

The Applicant has also provided a post card receipt that evidences the receipt of these documents by the U.S. Patent and Trademark Office (USPTO).

Consideration and return of the initialed copies of the attached 50 Pages of PTO-1449 forms are respectfully requested. The Examiner is requested to return the initialed copy of the attached PTO-1449 forms via facsimile to the undersigned. The undersigned's facsimile number is 404-572-5134.

If there are any other issues remaining in this application that may be resolved by a telephone conference, the Examiner is invited to contact the undersigned at the following number in the Atlanta Metropolitan Area: 404-572-2884.

Respectfully submitted,

/SPW/

Steven P. Wigmore  
Reg. No. 40,447

King & Spalding LLP  
1180 Peachtree Street, N.E.  
Atlanta, Georgia 30309-3521  
404.572.4600  
K&S Docket: 05456.105035

**COPY**

The U.S. Patent and Trademark Office official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

Date Mailed September 23, 2005

Applicant Robert David Graham  
Appl. No.: 09/874,574  
Filing Date: June 4, 2001  
Title: System and Method for Preventing Network Misuse

Papers Submitted:

1. Information Disclosure Statement with authorization to charge Deposit Account No. 11-0980 the appropriate fee to consider the IDS (4 pages)
2. List of Information Disclosed by Applicant - Form PTO-1449 (49 pages)
3. Cited References (4 boxes)
4. Postcard

Attorney  
Docket

Steven P. Wigmore  
05456.105035



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# COPY

## PATENTS

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	Art Unit: 2134
<b>Robert David Graham</b>	)	
	)	Examiner: Andrew L. Nalven
Serial No.: 09/874,574	)	
	)	Docket No.: 05456.105035
Filed: June 4, 2001	)	
	)	Conf. No.: 5484
For: System and Method for Preventing	)	
Network Misuse	)	

**INFORMATION DISCLOSURE STATEMENT ("IDS")  
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT THE APPROPRIATE  
FEE TO CONSIDER THE IDS**

---

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement ("IDS") for consideration by the Examiner. The patents, publications or other information submitted for consideration by the Patent Office are listed on Form PTO-1449, attached hereto. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

Except as may be indicated below, all of the patents, publications or other information are in the English language, a copy of which is attached hereto and therefore, a concise explanation of each reference is not required. The following additional information is provided for the Examiner's consideration.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 23, 2005.

*Minikia Blair*

Minikia D. Blair

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To assist the Examiner in reviewing this IDS, the Applicant has conducted a key word search of each U.S. patent and U.S. patent application publication listed on the attached Form PTO-1449. The Applicant used the following search terms in his query of the U.S. patents and U.S. patent application publications: (1) "computer\$"; (2) "intrusion\$", (3) "detect\$", (4) "(classif\$ or type or types)", (5) "(signature\$ or context\$)" and (6) "(store\$ or save or saved or record\$)." The Applicant used Boolean logic when performing this search in which each term was linked by the logical connector "AND." A search of these U.S. patents and U.S. patent application publications using the aforementioned terms and Boolean search logic yielded the following results (33 patents and 8 publications):

U.S. PATENTS
U.S. Patent No. 5,278,901 to Shieh et al.
U.S. Patent No. 5,414,833 to Hershey et al.
U.S. Patent No. 5,440,723 to Arnold et al.
U.S. Patent No. 5,557,742 to Smaha et al.
U.S. Patent No. 5,919,258 to Kayashima et al.
U.S. Patent No. 6,088,804 to Hill et al.
U.S. Patent No. 6,275,942 to Bernhard et al.
U.S. Patent No. 6,279,113 to Vaidya
U.S. Patent No. 6,282,546 to Gleichauf et al.
U.S. Patent No. 6,298,445 to Shostack et al.
U.S. Patent No. 6,301,668 to Gleichauf et al.
U.S. Patent No. 6,321,338 to Porras et al.
U.S. Patent No. 6,324,647 to Bowman-Amuah
U.S. Patent No. 6,347,374 to Drake et al.
U.S. Patent No. 6,353,385 to Molini et al.
U.S. Patent No. 6,405,318 to Rowland
U.S. Patent No. 6,405,364 to Bowman-Amuah
U.S. Patent No. 6,415,321 to Gleichauf et al.
U.S. Patent No. 6,460,141 to Olden
U.S. Patent No. 6,477,651 to Teal
U.S. Patent No. 6,484,203 to Porras et al.
U.S. Patent No. 6,487,666 to Shanklin et al.
U.S. Patent No. 6,499,107 to Gleichauf et al.
U.S. Patent No. 6,578,147 to Shanklin et al.
U.S. Patent No. 6,606,744 to Mikurak
U.S. Patent No. 6,647,400 to Moran
U.S. Patent No. 6,681,331 to Munson et al.

**COPY**

U.S. PATENTS
U.S. Patent No. 6,708,212 to Porras et al.
U.S. Patent No. 6,711,615 to Porras et al.
U.S. Patent No. 6,792,546 to Shanklin et al.
U.S. Patent No. 6,816,973 to Gleichauf et al.
U.S. Patent No. 6,839,850 to Campbell et al.
U.S. Patent No. 6,912,676 to Gusler et al.

U.S. Patent Application Publications
U.S. Patent Application Publication No. 2002/0032717 to Malan et al.
U.S. Patent Application Publication No. 2002/0032793 to Malan et al.
U.S. Patent Application Publication No. 2002/0035698 to Malan et al.
U.S. Patent Application Publication No. 2002/0138753 to Munson
U.S. Patent Application Publication No. 2002/0144156 to Copeland III et al.
U.S. Patent Application Publication No. 2003/0088791 to Porras et al.
U.S. Patent Application Publication No. 2003/0212903 to Porras et al.
U.S. Patent Application Publication No. 2004/0010718 to Porras et al.

The Applicant submits that these search terms cannot be interpreted as providing emphasis on these terms when the claims are interpreted and construed by both the Examiner and the courts. The Applicant merely selected these terms to identify the prior art that may be more relevant than prior art that do not contain these search terms.

To further assist the Examiner, the Applicant has organized each of the U.S. Patents and U.S. Patent Application Publications according to classification. For the non-U.S. patent prior art, the Applicant has annotated Form PTO-1449 with the location of each document in a respective box.

The Applicant exerted this additional time and effort to help the Examiner so that if a patent is ever issued on this patent application, third parties will understand that the Applicant submitted the attached prior art on a good faith basis. The Applicant recognizes that the attached prior art is voluminous but it is not the Applicant's intent to "bury" any patents that maybe more pertinent than other ones. The Applicant does not want to dismiss any reference that he is aware of as cumulative in order to prevent third parties from arguing that the Applicant intentionally did not submit any prior art in an effort to deceive the Examiner and the Patent Office.

**COPY**

This IDS is being filed under 37 C.F.R. § 1.97(b)(4), before the mailing of a first Office Action after the filing of a request for continued examination 37 C.F.R. § 1.114. No certification is required. No fee is believed to be due. However, should the Commissioner determine otherwise, he is authorized to charge such fees to Deposit Account No. 11-0980.

If the Examiner has any questions concerning this IDS, he is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the Patent Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 11-0980.

Enclosures:

1. Form PTO-1449
2. Foreign Patents
3. Publications
4. Postcard

Respectfully submitted,

KING & SPALDING LLP

By 

Steven P. Wigmore, Esq., Reg. No. 40,447

**COPY**

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO. <b>05456.105035</b>	SERIAL NO. <b>09/874,574</b>	FILING DATE <b>June 4, 2001</b>
APPLICANT <b>Robert David Graham</b>		GROUP <b>2134</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
	AM	6,618,501	9/9/03	Osawa et al.	382		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449, Adapted

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APPLICANT	GROUP	
Robert David Graham	2134	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	6,889,168	05/03/05	Hartley et al.	702		
	AW	5,210,704	5/11/93	Husseiny	702		
	AX	5,842,002	11/24/98	Schnurer et al.	703		
	AY	5,854,916	12/29/98	Nachenberg	703		
	AZ	5,999,723	12/7/99	Nachenberg	703		
	BA	6,067,410	5/23/00	Nachenberg	703		
	BB	5,864,803	1/26/99	Nussbaum	704		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
	BP	4,223,380	9/16/80	Antonaccio et al.	709		

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Robert David Graham		2134

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CF	5,964,839	10/12/99	Johnson et al.	709		
	CG	5,974,237	10/26/99	Shurmer et al.	709		
	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
	CL	6,035,323	3/7/00	Narayan et al.	709		
	CM	6,041,347	3/21/00	Harsham et al.	709		
	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
	CR	6,119,165	9/12/00	Li et al.	709		
	CS	6,195,687	2/27/01	Greaves et al.	709		

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	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Glechauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
	DG	2002/0032793	3/14/02	Malan et al.	709		

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APPLICANT <b>Robert David Graham</b>		GROUP <b>2134</b>

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	DH 2003/0037136	2/20/03	Labovitz et al.	709		
	DI 4,400,769	8/23/83	Kaneda et al.	710		
	DJ 5,511,184	4/23/96	Lin	710		
	DK 6,467,002	10/15/02	Yang	710		
	DL 5,991,856	11/23/99	Spilo et al.	711		
	DM 6,324,627	11/27/01	Kricheff et al.	711		
	DN 4,975,950	12/4/90	Lentz	713		
	DO 5,032,979	7/16/91	Hecht et al.	713		
	DP 5,121,345	6/9/92	Lentz	713		
	DQ 5,204,966	4/20/93	Wittenberg et al.	713		
	DR 5,274,824	12/28/93	Howarth	713		
	DS 5,278,901	1/11/94	Shieh et al.	713		
	DT 5,311,593	5/10/94	Carmi	713		
	DU 5,359,659	10/25/94	Rosenthal	713		
	DV 5,414,833	5/9/95	Hershey et al.	713		
	DW 5,475,839	12/12/95	Watson et al.	713		

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Robert David Graham	2134	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DX 5,557,742	9/17/96	Smaha et al.	713		
	DY 5,586,260	12/17/96	Hu	713		
	DZ 5,606,668	2/25/97	Shwed	713		
	EA 5,623,600	4/22/97	Ji et al.	713		
	EB 5,623,601	4/22/97	Vu	713		
	EC 5,696,822	12/9/97	Nachenberg	713		
	ED 5,761,504	6/2/98	Corrigan et al.	713		
	EE 5,764,887	6/9/98	Kells et al.	713		
	EF 5,764,890	6/9/98	Glasser et al.	713		
	EG 5,787,177	7/28/98	Leppek	713		
	EH 5,796,942	8/18/98	Esbensen	713		
	EI 5,798,706	8/25/98	Kraemer et al.	713		
	EJ 5,812,763	9/22/98	Teng	713		
	EK 5,815,574	9/29/98	Fortinsky	713		
	EL 5,822,517	10/13/98	Dotan	713		
	EM 6,851,057	02/01/05	Nachenberg	713		

**FOREIGN PATENT DOCUMENTS**

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ATTY. DOCKET NO. 05456.105035	SERIAL NO. 09/874,574	FILING DATE June 4, 2001
APPLICANT Robert David Graham		GROUP 2134

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
	FC	6,886,102	04/26/05	Lyle	713		

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APPLICANT	GROUP	
Robert David Graham	2134	

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	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
	FR	5,905,859	05/18/99	Holloway et al.	713		

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	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
	GH	6,279,113	8/21/01	Vaidya	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichen et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
	GV	6,477,651	11/5/02	Teal	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
	HJ	6,775,780	8/10/04	Muttik	713		

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	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Glechauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
	HY	5,398,196	3/14/95	Chambers	714		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	HZ	5,440,723	8/8/95	Arnold et al.	714		
	1A	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
	IL	6,173,413	1/9/01	Slaughter et al.	714		
	IM	6,912,676	06/28/05	Gusler et al.	714		

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	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
	JB	5,872,978	2/16/99	Hoskins	717		

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BOX 1 / 4

## U.S. PATENT DOCUMENTS

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					YES	NO
	JK WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
	JL WO 02/006928	01/24/02	PCT	vCIS, Inc.		
	JM WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
	JN WO 02/056152	07/18/02	PCT	Psionic Software		
	JO 0 985 995	08/13/03	EP	International Business Machines Corp.		
	JP WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
	JQ WO 00/02115	01/13/00	PCT	PRC Inc.		
	JR WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
	JS WO 99/50734	10/07/99	PCT	AT&T Corporation		
	JT WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
	JU WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
	JV 0 636 977	05/23/01	EP	Chambers		
	JW WO 99/15966	04/01/99	PCT	Symantec Corporation		

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KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
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KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
KI	International Search Report for PCT/US02/04989 of September 19, 2002
KJ	International Search Report for PCT/US02/02917 of August 8, 2002
KK	International Search Report for PCT/US03/00155 of May 15, 2003
KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
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KO	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 <sup>th</sup> National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839
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KX	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224
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KZ	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48

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ATTY. DOCKET NO. <b>05456.105035</b>	SERIAL NO. <b>09/874,574</b>	FILING DATE <b>June 4, 2001</b>
APPLICANT <b>Robert David Graham</b>		GROUP <b>2134</b>
		<b>BOX 2 / 4</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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Robert David Graham	2134	

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<b>BOX 3 / 4</b>		

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Applicant	Kavaler
Serial No.	09/874,574
Title	System and Method for Preventing Network Misuse
Papers Submitted:	Information Disclosure Statement; 2 pgs. PTO-1449 form; Fifteen (15) cited references
Attorney	WSP/Ilo
Date Mailed	August 12, 2002
Docket	05456.105035



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**Kavaler** )  
)  
Serial No.: **09/874,574** ) Art Unit: **2635**  
)  
Filed: **June 4, 2001** )  
)  
For: **System and Method for Preventing** )  
**Network Misuse** )

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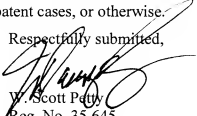
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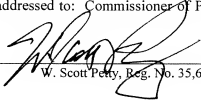
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Respectfully submitted,

  
W. Scott Petty  
Reg. No. 35,645

King & Spalding  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, GA 30303  
404.572.4600  
K&S Docket: 05456.105035

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Network Ice Corporation	2635	

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